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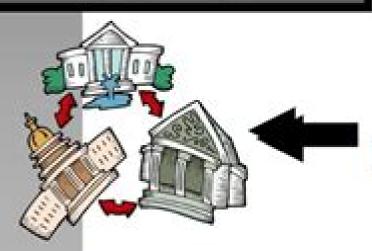
Spurred by the Great Depression following the 1929 Stock MarketCrash, Congress enacted

Securities Act of 1933

Regulates the initial offering of securities by a company or underwriter.

Securities Exchange Act of 1934

Regulates the subsequent trading of securities through brokers and exchanges.



The 1934
Act
Established
the SEC

Federal Securities Laws

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